

## Test Report

No. HKTEC2401328301

Date: 16 Apr 2024

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### MATERIALS CHARACTERIZATION SERVICES

105 SEBASTIANS RUN,  
AUSTIN TX 78738,  
UNITED STATES

The following sample(s) was/were submitted and identified on behalf of the clients as : SILICON CARBIDE WAFER FROM SK SILTRON CO, LTD

SGS Job No. : 5165168 - HK  
Model Part Number : WAFER 4H N PLUS SIC 150MM EPI WAFER  
Date of Sample Received : 27 Mar 2024  
Testing Period : 27 Mar 2024 - 12 Apr 2024  
Test Requested : Selected test(s) as requested by client.  
Test Method : Please refer to next page(s).  
Test Results : Please refer to next page(s).  
Conclusion : Based on the performed tests on submitted sample(s), the results of Cadmium, Lead, Mercury, Hexavalent chromium, Polybrominated biphenyls (PBBs), Polybrominated diphenyl ethers (PBDEs) and Phthalates such as Bis(2-ethylhexyl) phthalate (DEHP), Butyl benzyl phthalate (BBP), Dibutyl phthalate (DBP) and Diisobutyl phthalate (DIBP) comply with the limits as set by RoHS Directive (EU) 2015/863 amending Annex II to Directive 2011/65/EU.

Signed for and on behalf of  
SGS Hong Kong Limited.



Lam Ka Yung, Allen  
Senior Chemist

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Test Results :

## Test Part Description :

Specimen No.	SGS Sample ID	Description
1	HKT24-013283.001	Transparent light green crystal

Remarks :

- (1) 1 mg/kg = 1 ppm = 0.0001%
- (2) MDL = Method Detection Limit
- (3) ND = Not Detected ( < MDL )
- (4) "-" = Not Regulated

## RoHS Directive (EU) 2015/863 amending Annex II to Directive 2011/65/EU

Test Method : With reference to IEC 62321-4:2013+A1:2017, IEC62321-5:2013, IEC62321-7-2:2017, IEC62321-6:2015 and IEC62321-8:2017, analyzed by ICP-OES, UV-Vis and GC-MS. (Decision Rule: please refer to appendix 1: Category 1)

Test Item(s)	Limit	Unit	MDL	001
Cadmium (Cd)	100	mg/kg	2	ND
Lead (Pb)	1,000	mg/kg	2	ND
Mercury (Hg)	1,000	mg/kg	2	ND
Hexavalent Chromium (Cr(VI))	1,000	mg/kg	8	ND
Sum of PBBs	1,000	mg/kg	-	ND
Monobromobiphenyl	-	mg/kg	5	ND
Dibromobiphenyl	-	mg/kg	5	ND
Tribromobiphenyl	-	mg/kg	5	ND
Tetrabromobiphenyl	-	mg/kg	5	ND
Pentabromobiphenyl	-	mg/kg	5	ND
Hexabromobiphenyl	-	mg/kg	5	ND
Heptabromobiphenyl	-	mg/kg	5	ND
Octabromobiphenyl	-	mg/kg	5	ND
Nonabromobiphenyl	-	mg/kg	5	ND
Decabromobiphenyl	-	mg/kg	5	ND
Sum of PBDEs	1,000	mg/kg	-	ND
Monobromodiphenyl ether	-	mg/kg	5	ND
Dibromodiphenyl ether	-	mg/kg	5	ND
Tribromodiphenyl ether	-	mg/kg	5	ND

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<u>Test Item(s)</u>	<u>Limit</u>	<u>Unit</u>	<u>MDL</u>	<u>001</u>
Tetrabromodiphenyl ether	-	mg/kg	5	ND
Pentabromodiphenyl ether	-	mg/kg	5	ND
Hexabromodiphenyl ether	-	mg/kg	5	ND
Heptabromodiphenyl ether	-	mg/kg	5	ND
Octabromodiphenyl ether	-	mg/kg	5	ND
Nonabromodiphenyl ether	-	mg/kg	5	ND
Decabromodiphenyl ether	-	mg/kg	5	ND
Dibutyl Phthalate (DBP)	1,000	mg/kg	50	ND
Benzylbutyl Phthalate (BBP)	1,000	mg/kg	50	ND
Bis-(2-ethylhexyl) Phthalate (DEHP)	1,000	mg/kg	50	ND
Diisobutyl Phthalate (DIBP)	1,000	mg/kg	50	ND

### Notes :

(1) The maximum permissible limit is quoted from RoHS Directive (EU) 2015/863.

IEC 62321 series is equivalent to EN 62321 series

[http://www.cenelec.eu/dyn/www/f?p=104:30:1742232870351101:::FSP\\_ORG\\_ID,FSP\\_LANG\\_ID:1258637,25](http://www.cenelec.eu/dyn/www/f?p=104:30:1742232870351101:::FSP_ORG_ID,FSP_LANG_ID:1258637,25)

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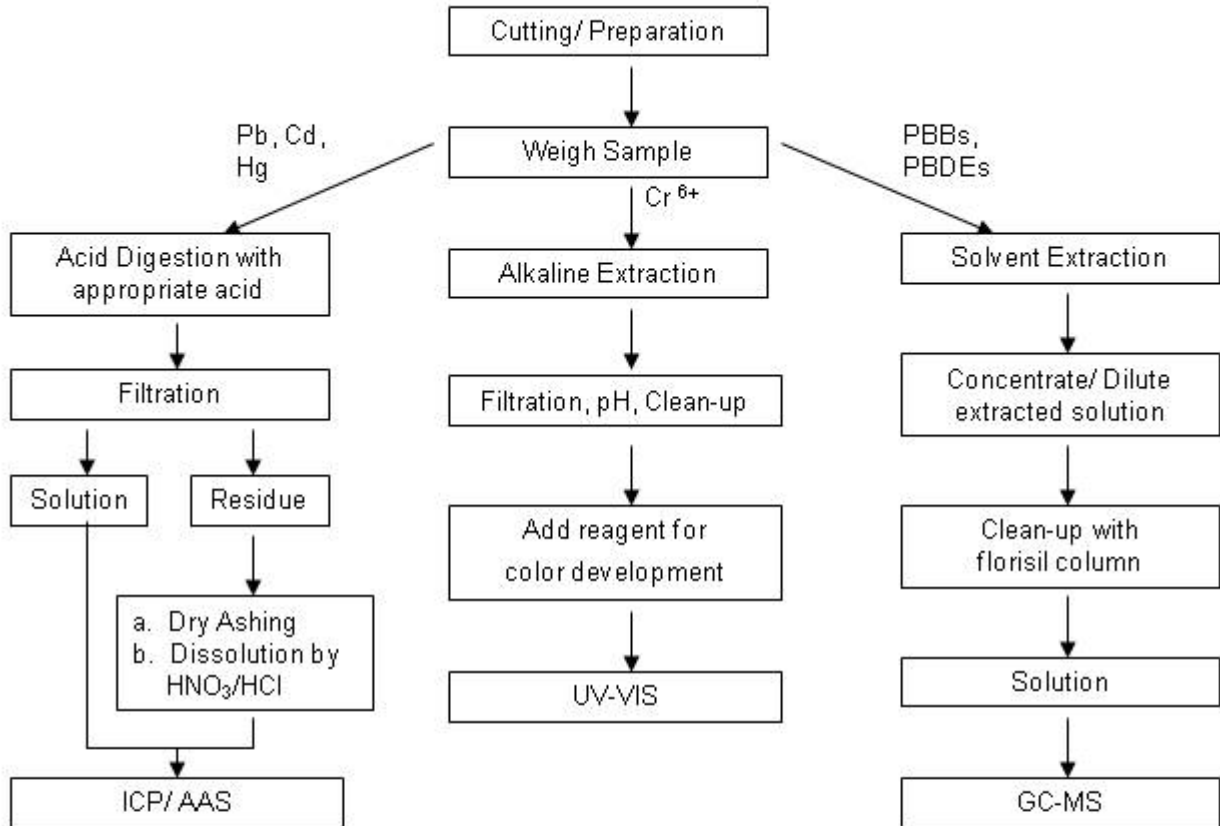
**Appendix 1**

Category	Decision Rule Statement
1	The decision rule for conformity reporting is based on the non-binary statement with guard band (is equal to the expanded measurement uncertainty with a 95% coverage probability, $w = U95$ ) in ILAC-G8:09/2019 Clause 4.2.3. A. "Pass - the measured value is within (or below / above) the acceptance limit, where the acceptance limit is below / above to the guard band." or "Pass - The measured values were observed in tolerance at the points tested. The specific false accept risk is up to 2.5%." B. "Conditional Pass - The measured values were observed in tolerance at the points tested. However, a portion of the expanded measurement uncertainty intervals about one or more measured values exceeded / out of tolerance. When the measured result is close to the tolerance, the specific false accept risk is up to 50%." C. "Conditional Fail - One or more measured values were observed out of tolerance at the points tested. However, a portion of the expanded measurement uncertainty intervals about one or more measured values were in tolerance. When the measured result is close to the tolerance, the specific false reject risk is up to 50%." D. "Fail - the measured value is out of (or below / above) the tolerance limit added / subtracted to the guard band." or "Fail - One or more measured values were observed out of tolerance at the points tested". The specific false reject risk is up to 2.5%.
2	The decision rule for conformity reporting is based on EN 1811:2023: Reference test method for release of nickel from all post assemblies which are inserted into pierced parts of the human body and articles intended to come into direct and prolonged contact with the skin in Section 9.2 interpretation of results.
3	The decision rule for conformity reporting is based on the general consideration of simple acceptance as stated in ISO/IEC Guide 98-3: "Uncertainty of measurement - Part 3: Guide to the expression of uncertainty in measurement (GUM 1995)", and more specifically for analytical measurements to the EURACHEM/CITAC Guide 2012 "Quantifying Uncertainty in Analytical Measurement".
4	The decision rule for conformity reporting is according to the IEC 62321-7-1 Edition 1.0 2015-09 Section 7: Table 1 - (comparison to standard solutions and interpretation of result)
5	The decision rule for conformity reporting is according to the IEC 62321-3-1 Edition 1.0 2013-06 Annex A.3 interpretation of result.
6	The decision rule for conformity reporting is according to the GB/T 39560.701-2020 Section 7: Table 1 - (comparison to standard solutions and interpretation of result)
7	The decision rule for conformity reporting is according to the requested specification or standard (ASTM F963-23 section 4.3.5)
8	The decision rule for conformity reporting is according to the requested specification or standard (AS/NZS ISO 8124 Part 3 section 4.2)
9	The decision rule for conformity reporting is according to the GB/T 39560.301-2020 Annex A.3 interpretation of result
Remark	If the decision rule is not feasible to be used and the uncertainty of the result is able to be provided, the uncertainty range of the result will be shown in the report. Otherwise, only result will be shown in the report.

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Flowchart:



- Note : 1) Boiling water test method was also performed for the analysis of Cr (VI) in metal sample.  
 2) The polymeric samples were dissolved totally by pre-conditioning method according to above flow chat for Cd , Pb and Hg contents analysis.

Operator: Chiu Kan Yuen/ Tang Koon Pang (Acid digestion)  
Chiu Kan Yuen (Dry Ashing)  
Nick Liu (Hexavalent Chromium)  
Kent Wan (PBBs and PBDEs)

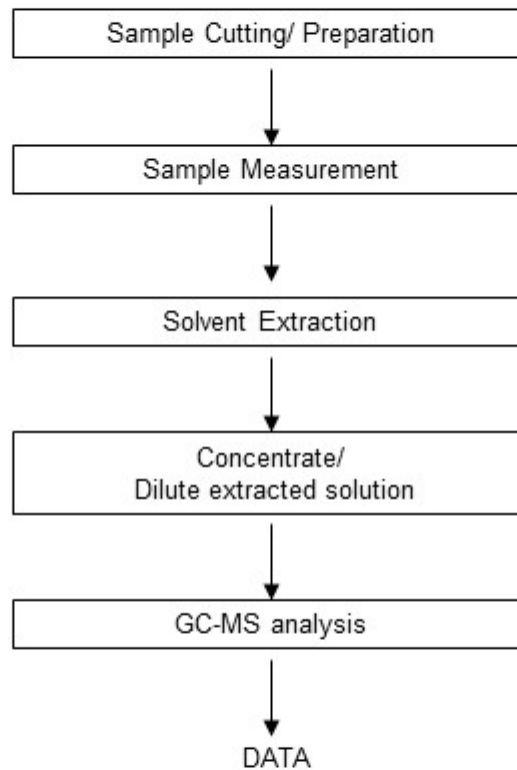
Section Chief : Chan Chun Kit, Dickson

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### Flowchart for Phthalates measurement

Method: IEC 62321-8:2017

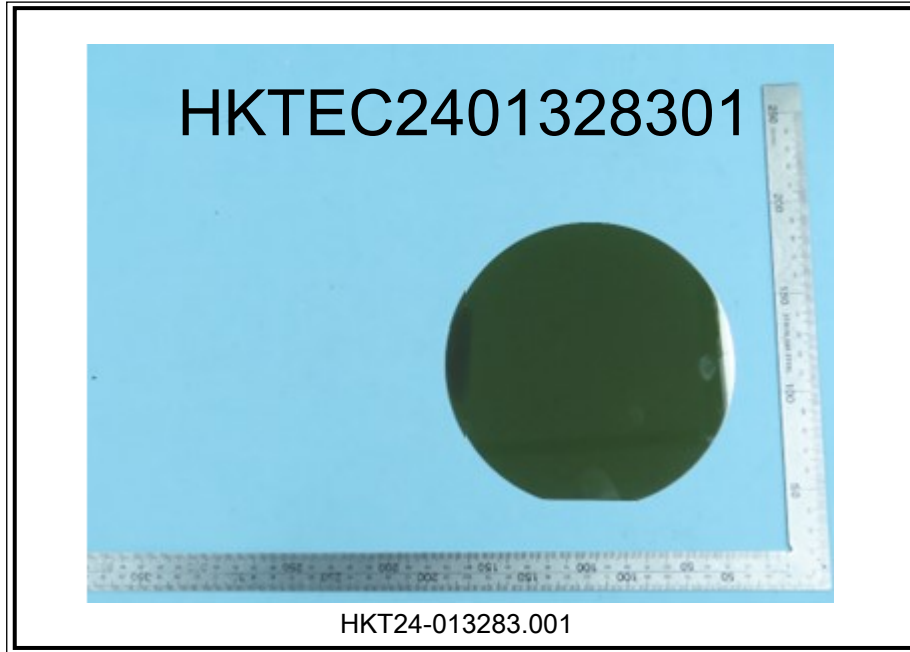


Tested by : Lumpy Lee  
Checked by : Edmund Kwan

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Sample photo:



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\*\*\* End of Report \*\*\*

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